

FINAL REPORTS ISSUED

01 February - 17 July 2015

ref	Audit 2014/15	Risk	Revised potential days	Final Report Issued	Days Taken	Recommendations Made					Audit Opinion
						No.	Risk Level				
						total	4	3	2	1	
KF02 C	Main Accounting System	3	4	21/05/15	5	1	0	0	1	0	substantial
KF06 O	Creditors	3	20	21/05/15	24	6	0	2	3	1	adequate
KF07 O	Housing Benefits and LCTS	3	20	29/04/15	17	0	0	0	0	0	substantial
KF09 O	NNDR	3	10	05/02/15	10	0	0	0	0	0	substantial
KF10 Oii	Payroll	3	5	13/03/15	5	0	0	0	0	0	substantial
KF11 O	Recovery	3	15	05/03/15	20	0	0	0	0	0	substantial
KF41 O	Taxation	1	3	26/03/15	6	1	0	0	1	0	substantial
COR17	Training	3	10	27/05/15	13	5	0	0	5	0	adequate
OP19	Car Parking Partnership (NEPP)	3	25	23/04/15	30	5	0	2	3	0	adequate
OP20	Leisure - PFI	3	10	26/03/15	6	0	0	0	0	0	substantial
OP26	Housing Contract Systems	3	15	30/03/15	5	0	0	0	0	0	substantial
OP32	Services for Older People	3	10	16/02/15	8	2	0	0	2	0	substantial
OP34	Street Services - Street Cleaning	3	5	05/03/15	7	3	0	0	3	0	adequate
OP35	Street Services - Highway Ranger Services	3	5	05/03/15	6	3	0	0	3	0	adequate
OP36	Street Services - Grounds Maintenance	3	10	05/03/15	7	4	0	0	4	0	adequate
						30	0	4	25	1	
						total	4	3	2	1	

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ref	Audit 2015/16	Risk	Revised potential days	Final Report Issued	Days Taken	Recommendations Made					Audit Opinion
						No.	Risk Level				
						total	4	3	2	1	
23 O	Environmental Health - Imported Food Controls	3	12	09/07/15	14	2	0	1	1	0	adequate
						2	0	1	1	0	
						total	4	3	2	1	

Internal Audit Programme 2015/16 - Revised June 2015										
ref	Audit	2015/16 potential days	qtr	IA Risk 2015/16	Started	Draft	Final	Days Taken	Status	Comment
Key Financial - Corporate										
01 KF	Budgets	5	2	2						
02 KF	Cash & Bank	5	1	3	23/06/15			1	planning	
03 KF	Contracts & Procurement	10	2	4						
04 KF	Council Tax	3	3	3						
05 KF	Creditors	10	2	3						
06 KF	Fraud (non-corporate)	10	3	3						
07 KF	Housing Benefits and LCTS	5	4	3						
08 KF	Housing Rents	5	4	3						
09 KF	Income, Fees & Charges	5	2	2	07/07/15			2	planning	
10 KF	Main Accounting System	2	4	3						
11 KF	NNDR	5	3	3						
12 KF	Payroll	3	4	3						
13 KF	Recovery	5	4	3						
14 KF	Taxation	2	4	2						
15 KF	Asset Management	p		1						
16 KF	Treasury Management	p		1						
Other										
17 O	Business Continuity	10	3	3	17/04/15			1	planning	
18 O	Communication	10	2	3	10/07/15			1	planning	
19 O	Community Health & Fitness	10	1	2	01/05/15			8	draft	
20 O	Corporate Governance & AGS	10	1	3	19/05/15			4	draft	
21 O	Elections	10	4	3						
22 O	Electoral Registration	10	4	3						
ref	Audit	2015/16 potential days	qtr	IA Risk 2015/16	Started	Draft	Final	Days Taken	Status	Comment
23 O	Environmental Health - Imported Food Controls	12	1	3	27/04/15	07/07/15	09/07/15	14	final	
24 O	Homelessness	10	3	3						

25 O	Housing Allocations	10	3	3						
26 O	Housing Repairs Service	15	2	4						
27 O	Housing Right to Buy	10	1	2	02/06/15	10/07/15		10	draft	
28 O	Housing Stock & Voids	12	1	3	14/04/15	24/06/15		11	draft	
29 O	Housing Stores	15	2	4						
30 O	ICT	10	3	3						
31 O	Information Management & Security	15	1	3	06/04/15	22/05/15		17	draft	
32 O	Members' Allowances & Expenses	10	4	3						
33 O	Partnerships	15	4	3						
34 O	Section 106 Obligations	10	1	2	17/06/15			4	planning	
35 O	Street Services - Fleet & Fuel Management and Transport Maintenance	15	2	4						
36 O	Street Services - Trade Waste	10	3	3						
37 O	Risk Management	10	1	2	17/06/15	10/07/15		10	draft	
38 O	Performance Management	10	3	2						
39 O	Equality & Diversity	5	3	4						
40 O	Car Parking Partnership (NEPP)	5	4	3						
41 O	Enforcement	p		2						
42 O	Facilities Management	p		2						
43 O	Grants & External Funding received	p		3						
44 O	HR	p		3						
45 O	Insurance	p		3						
46 O	Licensing	p		3						
47 O	Museum	p		3						
TOTAL AUDIT DAYS		325								